Bounding the Number of Components of Polynomial Hypersurfaces

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Example

A familiar problem: if we have a stationary car whose acceleration is a constant a, and we want to determine how long it will take the car to travel a distance d, we are interested in the solutions of

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That is, we are interested in the zero set of $\frac{1}{2}at^2 - d$.

Some Caveats





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- And a lot of the time, we have to use numerical methods to find solutions.
- In these cases, it helps to know how many solutions there are.

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Example

Consider the polynomial $f = x^5 - 3x - 1$.

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Idea: we can tell when to stop looking if we know how many roots there are.

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Notation Given $\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{R}^n$ and $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}^n$, we define $\mathbf{x}^a = x_1^{a_1} \cdots x_n^{a_n}$

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Example

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$$x_1^2 + 2x_1x_2 + 3x_2^2$$

as

$$x^{a_1} + 2x^{a_2} + 3x^{a_3}$$

where $a_1 = (2,0)$, $a_2 = (1,1)$, and $a_3 = (0,2)$.

For our purposes, we'll use the following definition of a polynomial:

Definition

An *n*-variate *m*-nomial is a polynomial in *n* variables with *m* terms, that is, a function $f : \mathbb{R}^n \to \mathbb{R}$ of the form

$$f = \sum_{i=1}^{m} c_i \mathbf{x}^{a_i}$$

where $c_i \in \mathbb{R}$, $\mathbf{x} = (x_1, \ldots, x_n) \in \mathbb{R}^n$, and $a_i = (a_{i,1}, \ldots, a_{i,n}) \in \mathbb{Z}^n$.

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Example

 $f = 5x_1x_2^2 + 7x_2 + 3x_1^4 - 8x_1^3x_2 - x_2^5$ is a 2-variate 5-nomial.

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And now for the objects of our interest:

Definition

The **positive real zero set** of a polynomial $f : \mathbb{R}^n \to \mathbb{R}$ is the set $Z_+(f) = \{\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{R}^n : x_i > 0 \text{ and } f(\mathbf{x}) = 0\}.$

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For polynomials in one variable, these are finite (unless the polynomial itself is 0). For multivariate polynomials, though, this need not be true.

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The connected components are the distinct curves in this set. Compact components are closed and bounded.



► For univariate polynomials, Descartes' rule of signs.

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But these are huge.



Khovanskii: 1024



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Can we be any more precise?



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Yes.

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Viro diagrams are diffeotopic to positive real zero sets in certain conditions.

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In order to tell, we have to look at \mathcal{A} -discriminant amoebae.

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Example

Consider
$$f = \frac{21}{20} - x^2y + x^3y^2 - x^4y^4 + \frac{3}{4000}x^5$$
.
The \mathcal{A} -discriminant amoeba is
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Example Plotting the Viro diagram gives us

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Example

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And the zero set is as above.

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Example

Take $g = x^4y^2 - x^2y^4 - 3x^2y - 9xy^2 + 22$. Its viro diagram is

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Unfortunately, this doesn't always work.

Example

Take $g = x^4y^2 - x^2y^4 - 3x^2y - 9xy^2 + 22$. Its viro diagram is



And here the zero set doesn't match.

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Back to bounds

So we look for bounds again.

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Back to bounds

So we look for bounds again. Perrucci [3] found a way to bound compact components of 2-variate 4-nomials.

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Using this method, we are working to improve the bound on 2-variate 5-nomials to less than 5.

Acknowledgments

Thanks to Dr. Rojas for guidance, background, and introducing this project.

Thanks to Korben Rusek for help; thanks to Daniel Perrucci, Frédérick Bihan and Frank Sottile, whose papers gave ideas for approaching this situation.

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