Geometry of \mathbb{R} Roots of 9×9 Polynomial Systems

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Motivation

$$f_1(x_8, x_9) = c_1 x_8^2 + c_2 x_8 x_9 + c_3 x_8 + c_4 x_9 + c_5$$

$$f_2(x_8, x_9) = c_6 x_9^2 + c_7 x_8 x_9 + c_8 x_8 + c_9 x_9 + c_{10}$$

A Quadratic Pentanomial 2x2 system!

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Solving polynomial equations becomes more and more complicated as we increase the number of terms and variables.

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Today we'll take a look at some constructions that give us rough approximations for roots in a fraction of the time!















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$$\begin{split} \underline{\mathbf{Ex}}:\\ f(x,y) &= 1 + x^2 + y^3 - 100xy \\ &= 1 * x^0 * y^0 + 1 * x^2 * y^0 + 1 * x^0 * y^3 - 100 * x^1 * y^1 \end{split}$$

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Although we didn't make use of the following in our research...



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The volume of the Newton polytope can be used to compute the degree of the corresponding hypersurface, and via mixed volumes, the number of roots of systems of equations!



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Denoted by $\operatorname{ArchNewt}(f)$

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 $\operatorname{ArchNewt}(f) := \operatorname{conv}\{(a_i, -\operatorname{Log}|c_i|) \mid i \in \{1, \dots, t\}, c_i \neq 0\}$

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$\operatorname{conv}\{(0,0,0),(2,0,0),(0,3,0),(1,1,-\operatorname{Log}(100))\}$



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We need two things to construct $\operatorname{ArchTrop}(f)$

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Let's project the lower faces of $\operatorname{ArchNewt}(f)$ onto the xy-plane This gives us a *triangulation* of our Newton Polytope! We take the outer normals of these lower faces

- \rightarrow We normalize them to be of the form (w, -1), and take w to be a vertex of ArchTrop(f)!
- $\rightarrow Roughly^*$ translates to a point in each triangle!



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- \rightarrow The outer normals of $\operatorname{ArchNewt}(f)$ that point downwards
- \rightarrow The outer normals of the edges of Newt(f)



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- \rightarrow The outer normals of ArchNewt(f) that point downwards
- \rightarrow The outer normals of the exterior edges of Newt(f)



Putting these two together, we get...

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The vertices of $\operatorname{ArchTrop}(f)$ are *dual* to the triangulation of $\operatorname{Newt}(f)$ induced by the lower faces of $\operatorname{ArchNewt}(f)$

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 $\operatorname{ArchTrop}(f)$ gives us metric information about the roots and areas where we can find constant isotopy types!



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 $\operatorname{ArchTrop}(f)$ can do this for more general curves

<u>**Ex</u></u>: f(x,y) = 1 + x^2 + y^3 - cxy \ (c > 0)</u>**

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<u>Ex</u>: $f(x, y) = 1 + x^2 + y^3 - cxy \ (c > 0)$ \Rightarrow The zero set of f(x, y) is either \emptyset , a point, or an oval!

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 \Rightarrow The zero set of $f(x,y)$ is either \emptyset , a point, or an oval!

$$\Rightarrow$$
 This occurs when $c < \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}}, c = \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}}, c > \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}},$ respectively

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Much like how the quadratic discriminant $b^2 - 4ac$ gives us information about the number of roots ArchTrop(f) can do this for more general curves **<u>Ex</u>**:

$$\begin{split} f(x,y) &= 1 + x^2 + y^3 - cxy \ (c > 0) \\ \Rightarrow \text{ The zero set of } f(x,y) \text{ is either } \varnothing, \text{ a point, or an oval!} \\ \Rightarrow \text{ This occurs when } c < \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}}, \ c = \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}}, \ c > \frac{6}{2^{\frac{1}{3}}3^{\frac{1}{2}}}, \text{ respectively} \end{split}$$



$\operatorname{ArchTrop}_+(f)$

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This time we focus on the signs of our coefficients!

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More specifically, we are interested in alternating signs!

We go from this...



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Archimedean Tropical Variety

To this!



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Archimedean Tropical Variety

 $\operatorname{ArchTrop}_+(f)$ gives us a piecewise linear function that resembles the set of positive roots



$$f(x) = 1 - 1.1x + x^2$$

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 ${c \in \mathbb{R}_+ \mid \text{connected zero set of } (1 - cx + x^2) \neq \text{ArchTrop}_+(f)}$ = (0, 2)

Our Research - Newton Polytope

$$f_1(x_8, x_9) = c_1 x_8^2 + c_2 x_8 x_9 + c_3 x_8 + c_4 x_9 + c_5$$

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$$f_1(x_8, x_9) = c_1 x_8^2 + c_2 x_8 x_9 + c_3 x_8 + c_4 x_9 + c_5$$

Suppose $c_1 = 1, c_2 = -10, c_3 = -10, c_4 = 2, c_5 = 1$



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ArchNewt (f_1) given *these* coefficients is...

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$$f_1(x_8, x_9) = c_1 x_8^2 + c_2 x_8 x_9 + c_3 x_8 + c_4 x_9 + c_5$$

Suppose $c_1 = 6, c_2 = -8, c_3 = -3, c_4 = 2, c_5 = 7$



 $f_1(x_8, x_9) = c_1 x_8^2 + c_2 x_8 x_9 + c_3 x_8 + c_4 x_9 + c_5$ Suppose $c_1 = 6, c_2 = -8, c_3 = -3, c_4 = 2, c_5 = 7$



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No matter the coefficients, these 5 cases encompass all the possible triangulations of f_1 !



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$$f_2(x_8, x_9) = c_6 x_8 x_9 + c_7 x_8^2 + c_8 x_8 + c_9 x_9 + c_{10}$$

Suppose $c_6 = 1, c_7 = -10, c_8 = -10, c_9 = 2, c_1 0 = 1$



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A Theorem on $\operatorname{ArchTrop}(f)$

 $Z_{\mathbb{C}}(f) :=$ the Complex zero set of f
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 $Z_{\mathbb{C}}(f) :=$ the Complex zero set of f

Theorem

For any pentanomial f in $\mathbb{C}[x_1, \ldots, x_n]$, any point of $\text{Log}|Z_{\mathbb{C}}(f)|$ is within distance $\log(4)$ of some point of ArchTrop(f).

A Theorem on $\operatorname{ArchTrop}_+(f)$

 $Z_+(f) :=$ the positive zero set of f

A Theorem on $\operatorname{ArchTrop}_+(f)$

 $Z_+(f) :=$ the positive zero set of f

Theorem

For any pentanomial f in $\mathbb{R}[x_1, \ldots, x_n]$, any point of $\text{Log}|Z_+(f)|$ is within distance $\log(4)$ of some point of $\text{ArchTrop}_+(f)$.

Using the same coefficients...



Using the same coefficients...



Theorem

If F is a random real 2×2 quadratic pentanomial system with supports having Cayley embedding

$$A = \begin{bmatrix} 2 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 2 & 1 & 0 & 1 & 0 \end{bmatrix},$$

such that the coefficient vector (c_1, \ldots, c_{10}) has each c_i with mean 0, then with probability at least 41%, F has the same number of positive roots as the cardinality of ArchTrop $(f_1) \cap$ ArchTrop (f_2) .







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Failures...crickets...







Some intuition...











Theorem

For any 2×2 polynomial system non-degenerate F with supports having Cayley embedding A, the number of nonzero real roots of F depends only on the completed signed A-discriminant chamber containing F.

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That being said...

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We can compute the Hausdorff distance between ArchTrop $(f_1) \cap$ ArchTrop (f_2) and $\text{Log}|Z_+(f_1)| \cap \text{Log}|Z_+(f_2)|$ for 1000 random examples to obtain the following:

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We can compute the Hausdorff distance between ArchTrop $(f_1) \cap$ ArchTrop (f_2) and $\text{Log}|Z_+(f_1)| \cap \text{Log}|Z_+(f_2)|$ for 1000 random examples to obtain the following:



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1. Generalizing our code

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- 2. Finding the conditions under which

 $h_0(Z_+(f)) = h_0(\operatorname{ArchTrop}_+(f))$

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3. Stability and the Jacobian