# The distribution of short orbits of singular moduli

### Annika Mauro, Tanis Nielsen

Texas A&M

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# The *j*-function

### Definition

### The *j*-function is defined by

$$j(z) = \frac{(1 + 240\sum_{n=1}^{\infty}\sum_{m|n} m^3 q^n)^3}{q \prod_{n=1}^{\infty} (1 - q^n)^{24}}, \quad q := e(z) = e^{2\pi i z}$$

It has the the Fourier expansion

$$j(z) = \sum_{m=0}^{1} a(-m)q^{-m} + \sum_{m=1}^{\infty} a(m)q^{m}$$

where a(-1) = 1, and a(0) = 744.

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# Modular functions

- j(z) is a modular function for  $SL_2(\mathbb{Z})$ , that is:
  - *j* is meromorphic on 𝔄, or complex differentiable on 𝔄 except for an isolated set of points.
  - j is invariant under precomposition by  $SL_2(\mathbb{Z})$ . So, for  $\gamma \in SL_2(\mathbb{Z})$ ,  $j(\gamma(z)) = j(z)$ .

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# Fundamental domain of $SL_2(\mathbb{Z})$

• The fundamental domain of  $SL_2(\mathbb{Z})$  acting on  $\mathbb{H}$  is the region of  $\mathbb{H}$  that contains exactly one point in each orbit of each element of  $\mathbb{H}$ . The canonical fundamental domain  $\mathcal{F}$  is shaded here.



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# Quadratic forms

### Definition

A primitive positive definite integral binary quadratic form is  $Q(x,y) = ax^2 + bxy + cy^2$  with  $a, b, c \in \mathbb{Z}$ , a > 0, gcd(a, b, c) = 1.

• Let  $d = b^2 - 4ac < 0$  be the **discriminant** of Q.

• The **root** of 
$$Q(x,1)$$
 in  $\mathbb{H}$  is  $\tau_{[Q]} = \frac{-b+\sqrt{d}}{2a}$ .

#### Definition

Let  $Q_d$  be the set of primitive positive definite integral binary quadratic forms of discriminant d < 0.

### Background and Definitions

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# Action of $SL_2(\mathbb{Z})$ on $Q_d$

- The group  $SL_2(\mathbb{Z})$  acts on  $Q_d$  by substitution, that is if  $\gamma = \begin{pmatrix} p & q \\ r & s \end{pmatrix}$ , then  $Q(x, y) \circ \gamma = Q(px + qy, rx + sy)$ .
- We can form the quotient  $G_d = Q_d/SL_2(\mathbb{Z})$ .
- Gauss showed that  $G_d$  is a finite group of order h(d) called the class group of d.
- By Siegel, we know that  $h(d) \to \infty$  as  $|d| \to \infty$ .
- Let  $G_d = \{[Q_1], \dots, [Q_{h(d)}]\}.$
- Define Q<sub>d</sub><sup>red</sup> = {Q<sub>1</sub>, · · · , Q<sub>h(d)</sub>} to be a complete set of reduced forms.

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## Heegner points

### Definition

Let  $\Lambda_d = \{\tau_{[Q_1]}, \ldots, \tau_{[Q_{h(d)}]}\}$  be the roots associated with the class representatives chosen earlier. These are called **Heegner points**.

- G<sub>d</sub> has a simple transitive group action on Λ<sub>d</sub> denoted by τ<sup>σ</sup> for σ ∈ G<sub>d</sub>, τ ∈ Λ<sub>d</sub>.
- This means that for any  $\tau \in \Lambda_d$ ,  $G_d \tau = \Lambda_d$ .

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# Singular moduli

### Definition

Let  $S_d$  be the set of complex numbers  $\{j(\tau_{Q_1}), \ldots, j(\tau_{Q_{h(d)}})\}$ . These are called **singular moduli**.

• Singular moduli are algebraic numbers, which means they are the root of some polynomial with rational coefficients.

## Group characters

#### Definition

A *character* of a finite abelian group G is a homomorphism  $\chi: G \to S^1$ , the complex unit circle.

#### Definition

The *dual group* or *character group* of G is the group of characters of G under pointwise multiplication, written  $\hat{G}$ .

#### Definition

Let H < G be a subgroup of a finite abelian group G. Then  $H^{\perp} := \{\chi \in \widehat{G} : \chi |_{H} = 1\}$  be the group of characters of G that restrict to 1 on H.

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## Example: Character Table of $C_4$

 $C_4$ , the cyclic group of order 4, has this character table:

	1	а	a <sup>2</sup>	a <sup>3</sup>
$\chi_0$	1	1	1	1
$\chi_1$	1	-1	1	-1
$\chi_2$	1	i	-1	-i
$\chi_{ m 3}$	1	-i	-1	i

You can see that  $\chi(x)\chi(y) = \chi(xy)$ . Note that the sum of every row besides the trivial  $\chi_0$  is 0. This is true for every character group.

## **Big O notation**

### Definition

Given two functions f(x) and g(x), we write  $f(x) = O_{\epsilon}(g(x))$  if  $|f(x)| \le Cg(x)$  for some constant C > 0 depending only on  $\epsilon$ .

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### Traces of singular moduli

Fix some  $\tau \in \Lambda_d$ .

#### Definition

The trace of a modular function f for some d is

$$\operatorname{Tr}_d(f) = \sum_{\sigma \in \mathcal{G}_d} f(\tau^{\sigma}).$$

• Tr<sub>d</sub>(j) is an algebraic integer, which means it is the root of some *monic* polynomial with integer coefficients.

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## A theorem of Duke

### Theorem (Duke, 2006)

$$\frac{1}{h(d)} \left( \operatorname{Tr}_d(j) - \sum_{\sigma \in G_d, \operatorname{Im}(\tau^{\sigma}) > 1} e(-\tau^{\sigma}) \right) \to 720$$
  
as  $|d| \to \infty$  through  $d \equiv 0, 1 \pmod{4}$ .

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### Averages of sub-orbits of singular moduli

#### Definition

For a subgroup  $H_d < G_d$ , the average of the  $H_d$ -orbit is

$$\operatorname{Av}_{H_d}(j,\tau) = \frac{1}{|H_d|} \sum_{\sigma \in H_d} j(\tau^{\sigma}).$$

#### Goal

We want to study the distribution of  $\operatorname{Av}_{H_d}(j)$  as  $|d| \to \infty$ .

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#### Theorem

Given a subgroup  $H_d < G_d$  and a Heegner point  $\tau = \tau_{[Q_\tau]} \in \Lambda_d$ there exists  $0 < \delta < 1/2$  such that

$$\operatorname{Av}_{H_d}(j,\tau) = M(\overline{\chi}, d, \tau) + 720 + O_{\epsilon} \left( |H_d|^{-1} |d|^{\delta + \epsilon} \right)$$

as  $|d| 
ightarrow \infty$  where

$$M(\chi, d, \tau) := \frac{\chi([Q_{\tau}])^{-1}}{h(d)} \sum_{m=0}^{1} \sum_{\substack{Q \in \mathcal{Q}_{d}^{\text{red}} \\ y_{Q} > \frac{2}{\sqrt{3}} + |d|^{\delta - \frac{1}{2}}}} C_{d}(Q) a(-m) e(-m\tau_{Q})$$
  
and  $C_{d}(Q) := \sum_{\chi \in H_{d}^{\perp}} \overline{\chi}(Q).$ 

### The exponent $\delta$

### Remark

Assuming the Lindelöf hypothesis for various L-functions, we can take  $\delta=9/20.$ 



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## Corollary: Good sequences of subgroups $H_d$

#### Corollary

Let  $A > \delta$ . If  $H_d$  satisfies  $|H_d| \ge |d|^A$ , then

$$\operatorname{Av}_{H_d}(j,\tau) - M(\chi, d, \tau) \to 720$$

as  $|d| \rightarrow \infty$ .

By Siegel's theorem,  $|G_d| \gg_{\epsilon} |d|^{1/2-\epsilon}$ .

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### Poisson summation

Let H < G be a subgroup of a finite abelian group G. Let  $H^{\perp} := \{ \chi \in \widehat{G} : \chi |_{H} = 1 \}$  be the group of characters of G that restrict to 1 on H.

The Poisson summation formula states that for  $f : G \to \mathbb{C}$ ,

$$\frac{1}{|H|}\sum_{h\in H}f(h)=\frac{1}{|G|}\sum_{\chi\in H^{\perp}}\sum_{g\in G}f(g)\overline{\chi}(g).$$

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# Use of Poisson Summation

By applying the Poisson summation formula to j, we can get

$$\frac{1}{|H_d|}\sum_{\sigma\in H_d}j(\tau^{\sigma})=\frac{1}{h(d)}\sum_{\chi\in H_d^{\perp}}\sum_{\sigma\in G_d}\overline{\chi}(\sigma)j(\tau^{\sigma}).$$

Note that the left hand side of this equation is  $Av_{H_d}(j)$ .

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# **Twisted Traces**

We call

$$\operatorname{Tr}_{\chi,d}(j,\tau) := \sum_{\sigma \in \mathcal{G}_d} \chi(\sigma) j(\tau^{\sigma})$$

a twisted trace. So we have that

$$\operatorname{Av}_{H_d}(j,\tau) = \frac{1}{h(d)} \sum_{\chi \in H_d^{\perp}} \operatorname{Tr}_{\overline{\chi},d}(j,\tau)$$

We will first focus on analysing the twisted trace.

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Background		

### Definition

Given two  $SL_2(\mathbb{Z})$ -invariant functions  $\phi_1, \phi_2 : \mathbb{H} \to \mathbb{C}$ , we define the Petersson inner product by

$$\langle \phi_1, \phi_2 \rangle := \int_{\mathcal{F}} \phi_1(z) \overline{\phi_2}(z) \frac{dxdy}{y^2}$$

The corresponding  $L_2$ -norm is given by  $||\phi||_2 := \sqrt{\langle \phi, \phi \rangle}$ .

#### Definition

Let  $\mathcal{D}(\mathrm{SL}_2(\mathbb{Z})\backslash\mathbb{H})$  be the space of  $\mathrm{SL}_2(\mathbb{Z})$ -invariant functions  $\phi: \mathbb{H} \to \mathbb{C}$  such that  $\phi$  and  $\Delta \phi$  are both smooth and bounded, where  $\Delta := -y^2(\partial_x^2 + \partial_y^2)$  is the hyperbolic Laplacian.

For  $A \in \mathbb{Z}^+$  we let  $\Delta^A$  denote the composition of  $\Delta$  with itself *A*-times.

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### Asymptotics for the Twisted Trace

### Proposition

If  $\phi \in \mathcal{D}(\mathsf{SL}_2(\mathbb{Z})/\mathbb{H})$ , there is an absolute constant  $0 < \delta' < 1/2$  such that

$$\operatorname{Tr}_{\chi,d}(\phi,\tau) = C(\chi,d)\frac{3}{\pi}\langle\phi,1\rangle + O_{\epsilon}\left(||\Delta^{\mathcal{A}}\phi||_{2} |d|^{-\delta'+\epsilon}\right)$$

for all sufficiently large  $A \in \mathbb{Z}^+$  where

$$\mathcal{C}(\chi,d) \coloneqq rac{1}{h(d)} \sum_{\sigma \in \mathcal{G}_d} \chi(\sigma).$$

Proof outline

## Regularizing the j function

Let  $1 > \eta > 0$ . Define

$$j_\eta(z) := \sum_{\gamma \in \mathsf{\Gamma}_\infty ackslash \operatorname{SL}_2(\mathbb{Z})} g_\eta(\gamma z)$$

where

$$g_{\eta}(z) := \sum_{m=0}^{1} a(-m)\psi_{m,\eta}(\operatorname{Im}(z))e(-mz),$$

$$\psi_{m,\eta}(\mathbf{y}) := \phi_0\left(\frac{\mathbf{y}-\frac{2}{\sqrt{3}}}{\eta}\right)$$

and  $\phi_0(t)$  is a  $C^\infty$  function with

$$\phi_0(t) = egin{cases} 0 & ext{if} & t \leq 0 \ 1 & ext{if} & t \geq 1. \end{cases}$$

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## Regularizing the j function

It can be shown that for

$$j_{\eta}^{\mathrm{reg}} := j - j_{\eta},$$

 $j_{\eta}^{\mathrm{reg}} \in \mathcal{D}(\mathsf{SL}_2(\mathbb{Z})/\mathbb{H}).$  This means we can apply the earlier proposition.

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## Decomposing the trace

The twisted trace is linear, so:

$$\operatorname{Tr}_{\chi,d}(j,\tau) = \operatorname{Tr}_{\chi,d}(j_{\eta}^{\operatorname{reg}},\tau) + \operatorname{Tr}_{\chi,d}(j_{\eta},\tau).$$

Then by the proposition,

$$egin{aligned} ext{Tr}_{\chi,d}(j, au) &= ext{Tr}_{\chi,d}(j_\eta, au) + \mathcal{C}(\chi,d)rac{3}{\pi}\langle j_\eta^{ ext{reg}},1
angle \ &+ \mathcal{O}_\epsilon\left(||\Delta^{\mathcal{A}}j_\eta^{ ext{reg}}||_2\,|d|^{-\delta'+\epsilon}
ight). \end{aligned}$$

We can directly calculate that  $\frac{3}{\pi}\langle j^{\mathrm{reg}}_\eta,1
angle=$  720.

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## Dependence on choice of Heegner point

#### Lemma

Let 
$$\tau = \tau_{[Q_{\tau}]} \in \Lambda_d$$
. Then  

$$\operatorname{Tr}_{\chi,d}(j_{\eta},\tau) = \chi([Q_{\tau}])^{-1} \sum_{Q \in \mathcal{Q}_d^{\mathrm{red}}} \chi(Q) j_{\eta}(\tau_Q).$$

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# Further decomposing $\operatorname{Tr}_{\chi,d}(j_{\eta},\tau)$

We can further decompose

$$\sum_{Q \in \mathcal{Q}_d^{\mathrm{red}}} \chi(Q) j_{\eta}(\tau_Q) = \mathrm{T}_{\chi, d, 1} + \mathrm{T}_{\chi, d, 2}$$

where

$$\begin{aligned} \mathrm{T}_{\chi,d,1} &:= \sum_{\substack{Q \in \mathcal{Q}_d^{\mathrm{red}} \\ y_Q > \frac{2}{\sqrt{3}} + \eta}} \chi(Q) \sum_{m=0}^1 a(-m) e(-m\tau_Q) \\ \mathrm{T}_{\chi,d,2} &:= \sum_{\substack{Q \in \mathcal{Q}_d^{\mathrm{red}} \\ \frac{\sqrt{2}}{3} < y_Q \leq \frac{2}{\sqrt{3}} + \eta}} \chi(Q) \sum_{m=0}^1 a(-m) e(-m\tau_Q). \end{aligned}$$

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#### Lemma

There is a  $0<\delta^{\prime\prime}<1/2$  such that

$$\Gamma_{\chi, {m d}, 2} = O(\eta {m h}({m d})) + O_\epsilon(\eta^{-{m A}'} |{m d}|^{\delta''+\epsilon}).$$

for all sufficiently large  $A' \in \mathbb{Z}^+$ .

#### Lemma

We have

$$||\Delta^A j_\eta^{\rm reg}||_2 \ll \eta^{-2A}$$

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for all  $A \in \mathbb{Z}^+$ .

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# Putting it all together

Using the previous facts, we have

$$\begin{aligned} \operatorname{Tr}_{\chi,d}(j,\tau) &= C(\chi,d) 720 + \chi([Q_{\tau}])^{-1} \operatorname{T}_{\chi,d,1} + O_{\epsilon}(\eta^{-2A} |d|^{\delta'+\epsilon}) \\ &+ O(\eta h(d)) + O_{\epsilon}(\eta^{-A'} |d|^{\delta''+\epsilon}). \end{aligned}$$

# Calculating the average

Using the upper bound

$$h(d) \ll |d|^{1/2+\epsilon}$$

and orthogonality of characters we get

$$\begin{aligned} \operatorname{Av}_{H_d}(j,\tau) &= \frac{1}{h(d)} \sum_{\chi \in H_d^{\perp}} \operatorname{Tr}_{\overline{\chi},d}(j,\tau) \\ &= M(\overline{\chi},d,\tau) + 720 + O_{\epsilon}(|H_d|^{-1}\eta^{-2A}|d|^{\delta'+\epsilon}) \\ &+ O_{\epsilon}(|H_d|^{-1}\eta |d|^{1/2+\epsilon}) + O_{\epsilon}(|H_d|^{-1}\eta^{-A'}|d|^{\delta''+\epsilon}). \end{aligned}$$

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## **Final Optimizations**

Choosing  $\eta = |d|^{-b}$  and optimizing *b* appropriately, we get that there exists  $0 < \delta < 1/2$  such that

$$\operatorname{Av}_{H_d}(j,\tau) = M(\overline{\chi}, d, \tau) + 720 + O_{\epsilon} \left( |H_d|^{-1} |d|^{\delta + \epsilon} \right)$$

as  $|d| \to \infty$ .

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- As L-function bounds are improved, a numerical value of  $\delta$  can be found and improved.
- Investigate sequences of  $H_d$  as  $|d| \rightarrow \infty$  that may have combinatorial significance.
- Look into certain choices of  $\chi$  which allow  $\text{Tr}_{\chi,d}(j,\tau)$  to describe Fourier coefficients for modular forms.



Thank you for your time, and thank you to Dr. Masri and the organizers of this REU!

